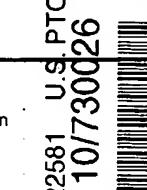
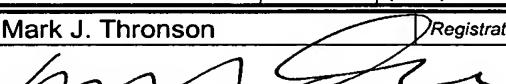


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | | Attorney Docket No. R2184.0289/P289 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | First Inventor Kazunori Ito | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Title PHASE CHANGE TYPE OPTICAL RECORDING MEDIUM AND RECORDING METHOD THEREFOR | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Express Mail Label No. _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | | ADDRESS TO: MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450  20581 U.S.PTO 10/730026  120903 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 56] 1 <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6] 1</p> <p>5. Oath or Declaration [Total Sheets 1] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i> </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ACCOMPANYING APPLICATION PARTS <table border="0" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;">9.</td> <td><input type="checkbox"/> Assignment Papers (cover sheet & document(s))</td> <td style="width: 10%; text-align: right;"><input type="checkbox"/> Power of Attorney</td> </tr> <tr> <td>10.</td> <td><input type="checkbox"/> 37 CFR 3.73(b) Statement</td> <td style="text-align: right;"><input type="checkbox"/> (when there is an assignee)</td> </tr> <tr> <td>11.</td> <td colspan="2"><input type="checkbox"/> English Translation Document (<i>if applicable</i>)</td> </tr> <tr> <td>12.</td> <td><input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</td> <td style="text-align: right;"><input checked="" type="checkbox"/> Copies of IDS Citations</td> </tr> <tr> <td>13.</td> <td colspan="2"><input type="checkbox"/> Preliminary Amendment</td> </tr> <tr> <td>14.</td> <td colspan="2"><input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></td> </tr> <tr> <td>15.</td> <td colspan="2"><input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></td> </tr> <tr> <td>16.</td> <td colspan="2"><input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</td> </tr> <tr> <td>17.</td> <td colspan="2"><input checked="" type="checkbox"/> Other: Claim for Priority and Submission of Documents</td> </tr> </table> | | | 9. | <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | <input type="checkbox"/> Power of Attorney | 10. | <input type="checkbox"/> 37 CFR 3.73(b) Statement | <input type="checkbox"/> (when there is an assignee) | 11. | <input type="checkbox"/> English Translation Document (<i>if applicable</i>) | | 12. | <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input checked="" type="checkbox"/> Copies of IDS Citations | 13. | <input type="checkbox"/> Preliminary Amendment | | 14. | <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> | | 15. | <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> | | 16. | <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | | 17. | <input checked="" type="checkbox"/> Other: Claim for Priority and Submission of Documents | |
| 9. | <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | <input type="checkbox"/> Power of Attorney | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 10. | <input type="checkbox"/> 37 CFR 3.73(b) Statement | <input type="checkbox"/> (when there is an assignee) | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 11. | <input type="checkbox"/> English Translation Document (<i>if applicable</i>) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 12. | <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input checked="" type="checkbox"/> Copies of IDS Citations | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 13. | <input type="checkbox"/> Preliminary Amendment | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 14. | <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 15. | <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 16. | <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 17. | <input checked="" type="checkbox"/> Other: Claim for Priority and Submission of Documents | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____</p> <p>Prior application information: Examiner _____ Art Unit: _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> Customer Number: 24998 | | <input type="checkbox"/> Correspondence address below | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name | DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Mark J. Thronson | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Address | 2101 L Street NW | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| City | Washington | State DC | Zip Code 20037-1526 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Country | US | Telephone (202) 785-9700 | Fax (202) 887-0689 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name (Print/Type) | Mark J. Thronson | | Registration No. (Attorney/Agent) 33,082 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Signature |  | | Date December 9, 2003 | | | | | | | | | | | | | | | | | | | | | | | | | | |

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U.S. PTO

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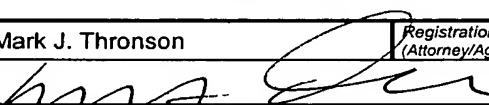
FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 770.00)

| Complete if Known | |
|----------------------|-----------------------|
| Application Number | Not Yet Assigned |
| Filing Date | Concurrently Herewith |
| First Named Inventor | Kazunori Ito |
| Examiner Name | Not Yet Assigned |
| Art Unit | N/A |

Attorney Docket No. R2184.0289/P289

| METHOD OF PAYMENT (check all that apply) | | | | | FEE CALCULATION (continued) | | | | | |
|------------------------------------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------|--------------------------------|-------------------------------|--------------------------------------|--------------|-----------------|------------------------|----------------------------------------------------------------------------|----------|
| <input type="checkbox"/> Check | <input checked="" type="checkbox"/> Credit Card | <input type="checkbox"/> Money Order | <input type="checkbox"/> Other | <input type="checkbox"/> None | 3. ADDITIONAL FEES | | | | | |
| <input checked="" type="checkbox"/> Deposit Account: | | | | | Large Entity | Small Entity | Fee Description | | | Fee Paid |
| Deposit Account Number 04-1073 | | | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| Deposit Account Name Dickstein Shapiro Morin & Oshinsky LLP | | | | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| | | | | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| | | | | | 1053 | 130 | 1053 | 130 | Non-English specification | |
| | | | | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| | | | | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | | | | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | | | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| | | | | | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| | | | | | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| | | | | | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| | | | | | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| | | | | | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| | | | | | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| | | | | | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| | | | | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| | | | | | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| | | | | | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| | | | | | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| | | | | | 1502 | 480 | 2502 | 240 | Design issue fee | |
| | | | | | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| | | | | | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| | | | | | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| | | | | | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| | | | | | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| | | | | | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| | | | | | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| | | | | | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| | | | | | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| | | | | | Other fee (specify) _____ | | | | | |
| | | | | | *Reduced by Basic Filing Fee Paid | | | SUBTOTAL (3) (\$ 0.00) | | |
| | | | | | (Complete if applicable) | | | | | |
| SUBMITTED BY | | | | | Registration No. (Attorney/Agent) | 33,082 | Telephone | (202) 775-4742 | | |
| Name (Print/Type) | | Mark J. Thronson | | | | | Date | December 9, 2003 | | |
| Signature | |  | | | | | | | | |